



Privacy Notice

Effective Date: March 17, 2026

1. Our Commitment to Your Privacy

Puente Servicios Financieros, LLC and Puente Asesoramiento de Inversiones, LLC (collectively, “Puente U.S.,” “we,” “our,” or “us”) value your trust and are committed to protecting your personal information. This Privacy Notice describes how Puente U.S. collects, uses, shares, and safeguards personal information obtained in connection with its brokerage and investment advisory businesses, including through this website.

2. Information We Collect

Puente U.S. may collect personal information such as:

- contact information, including name, mailing address, email address, and telephone number;
- identification information, including date of birth, Social Security number, taxpayer identification number, passport number, or other government-issued identification information, as applicable;
- financial information, including account balances, assets, income, transaction history, investment objectives, and investment experience;
- information provided through account applications, subscription documents, onboarding materials, forms, correspondence, and other communications with us;
- information regarding your use of this website, including technical and device-related information collected through cookies or similar technologies, where applicable.

3. How We Use Your Information

Puente U.S. may use your personal information to:

- provide brokerage and investment advisory services;
- establish, maintain, and service your accounts and process transactions;
- communicate with you regarding your accounts, services, and important updates;
- conduct due diligence, verify your identity, and satisfy anti-money laundering, customer identification, sanctions screening, and related compliance obligations;
- comply with applicable legal, regulatory, reporting, and recordkeeping requirements; and
- operate, maintain, protect, and improve our services, systems, and website.

4. How We Share Information

Puente U.S. does not sell your personal information. We may share personal information, as permitted or required by applicable law, with:

- service providers, vendors, and other third parties that assist us in account administration, operations, technology, compliance, or other business functions;
- clearing firms, custodians, administrators, and other financial institutions involved in servicing your accounts or transactions;
- regulatory authorities, auditors, law enforcement, courts, or other governmental bodies where required or permitted by law, regulation, subpoena, or legal process;
- affiliates, where necessary for operational, administrative, compliance, or business purposes and as otherwise permitted by law; and
- other parties with your consent or at your direction.

5. How We Protect Your Information

Puente U.S. maintains physical, electronic, and procedural safeguards designed to protect personal information from unauthorized access, use, or disclosure. These measures may include secure systems, encryption, access controls, vendor oversight, and other information security practices appropriate to the sensitivity of the information maintained.

6. Your Rights and Choices

Depending on the nature of your relationship with Puente U.S. and applicable law, you may have the right to request access to certain personal information we maintain about you, request corrections to inaccurate information, or opt out of certain types of information sharing. To make such a request, please contact us using the information below. Puente U.S. will respond in accordance with applicable law and regulatory requirements.

7. Changes to This Notice

Puente U.S. may update this Privacy Notice from time to time. Any updated version will be posted on this website with a revised effective date.

8. Contact Us

If you have any questions about this Privacy Notice or Puente U.S.'s privacy practices, please contact:

Puente Servicios Financieros, LLC / Puente Asesoramiento de Inversiones, LLC

Attn: Compliance Department

801 Brickell Avenue, Suite 2010

Miami, FL 33131

Telephone: +1 (786) 589-6071

Website: www.puentenet-usa.com