

Anti-Money Laundering (AML) Policy & Customer Identification Program (CIP) Notice

Important Information About Procedures for Opening a New Account

To help the government fight the funding of terrorism and money laundering activities, federal law requires certain financial institutions to obtain, verify, and record information that identifies each person who opens an account.

What This Means for You

When you open an account, we will ask for your name, address, date of birth, and other information that will enable us to identify you. We may also ask to review your driver's license or other identifying documents.

Contacting Us

If you have any questions regarding our AML and CIP policies, please contact:

Puente Servicios Financieros, LLC / Puente Asesoramiento de Inversiones, LLC

Attn: Chief Compliance Officer / Compliance Department

801 Brickell Avenue, Suite 2010

Miami, FL 33131

Telephone: +1 (786) 589-6071

Website: www.puertenet-usa.com